

United States Patent and Trademark Office

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.usdto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/072,452

02/08/2002

John C.K. Sham

074319-9013-00

23409

MICHAEL BEST & FRIEDRICH, LLP 100 E WISCONSIN AVENUE MILWAUKEE, WI 53202



CONFIRMATION NO. 1841

FORMALITIES LETTER

OC000000007725675

į.

Date Mailed: 03/27/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$282.
 - \$198 for 11 total claims over 20.
 - \$84 for 1 independent claims over 3.
- The oath or declaration is missing.
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1152.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

141113.

MPS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit 1746

In re Patent Application of:

John C. K. Sham

Application No.: 10/072,452

Confirmation No.: 1841

Filed: February 8, 2002

Examiner: Not Yet Known

Title: "SANITIZING MAILBOX APPARATUS

AND METHOD"

I, Ellen R. Webb, hereby certify that this correspondence is being deposited with the US Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231, on the date of my signature.

Signature

July 25, 2002 Date of Signature

RESPONSE TO NOTICE TO FILE MISSING PARTS

Box Missing Parts Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

This is in response to the Notice to File Missing Parts of Application - Filing Date Granted, which was mailed March 27, 2002 and a copy of which is attached. Applicant respectfully requests an extension of time to July 25, 2002 to respond to the Notice. Enclosed is a Declaration and Power of Attorney, an Assignment and Assignment Cover Sheet and a check for \$1,592.00 in payment of the filing, extension and Assignment recordation fees. The filing fee has been calculated as shown below.

	(1) FOR	(2) NUMBER FILED	(3) NUMBI	ER EXTRA	(4) RATE	(5) BASIC FEE \$740.00
	TOTAL CLAIMS	31 - 20	= 11		X \$18.00	=\$198.00
	INDEPENDENT CLAIMS	4 - 3	= 1		X \$84.00	=\$84.00
				SURCHARGE:		130.00
			. <u></u>	TOTAL FILING FEE		\$1,152.00

Please charge or credit Deposit Account No. 13-3080 with any shortage or overpayment of the fees associated with this communication.

Respectfully submitted,

Christopher B. Austin Reg. No. 41,592

File No. 074319-9013-00

Michael Best & Friedrich LLP 100 East Wisconsin Avenue, Ste. 3300 Milwaukee, WI 53202-4108 (414) 271-6560



COPY OF PAPERS ORIGINALLY FILED



www.mbf-law.com



100 East Wisconsin Avenue Suite 3300 Milwaukee, Wisconsin 53202-4108 FAX (414) 277-0656 Telephone (414) 271-6560

Author: Christopher B. Austin Writer's Direct Line: (414) 225-8266 Email: cbaustin@mbf-law.com Offices in:
Madison, Wisconsin
Manitowoc, Wisconsin
Waukesha, Wisconsin
Lehigh Valley, Pennsylvania
Chicago, Illinois
(Michael Best & Friedrich LLC)

Member: Lex Mundi, A Global Network of more than 150 Independent Firms

July 25, 2002

Assistant Commissioner for Patents Office of Initial Patent Examination's Customer Service Center Washington, D.C. 20231

Re: Application Serial No. 10/072,452 filed February 8, 2002

Confirmation No.: 1841 Applicant: John C.K. Sham

Title: "Sanitizing Mailbox Apparatus and Method"

Our Docket No.: 074319-9013-00

Dear Sir:

We received the Filing Receipt for the above-referenced application (copy enclosed), which names John C.K. Sham and Brian Yuen as Applicants. John C.K. Sham is the only Applicant. Accordingly, please issue a corrected Filing Receipt. Please contact me if you have any questions regarding this matter. Thank you.

Yours very truly,

Christopher B. Austin

Enclosure



United States Patent and Trademark Office

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
www.uspto.gov

APPLICATION NUMBER FILING DATE GRP ART UNIT FIL FEE REC'D ATTY.DOCKET.NO DRAWINGS TOT CLAIMS IND CLAIMS

10/072,452 02/08/2002 1746 0.00 9013-00 9 31 4

CONFIRMATION NO. 1841

23409 MICHAEL BEST & FRIEDRICH, LLP 100 E WISCONSIN AVENUE MILWAUKEE, WI 53202 APR 0 1 2002 OTPE FILING RECEIPT

OCCOMMONTATION JUL 3 0 2002

MILWAUKERA WI

Date Mailed: 03/27/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an err r is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

John C.K. Sham, Aberdeen, HONG KONG; Brian Yuen, Aberdeen, HONG KONG;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 03/27/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

N n-Publication Request: No

Early Publication Request: No

Title

Sanitizing mailbox apparatus and method

Preliminary Class

134







LICENSE FOR FOREIGN FILING UNDER Title 35, United Stat s Code, S ction 184 Title 37, Code of Federal R gulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).